



**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Application No.: 09/394,918

Group Art Unit: 3724

Applicant: Jau-Yuen SU et al.

Examiner: Omar FLORES-SANCHEZ

Filed: September 13, 1999

Confirmation No.: 5130

Title: PROCESS FOR SAWING  
SUBSTRATE STRIP

Attorney Docket: SUJA3001/WKP

Customer No.: 23364

**RESPONSE TO NOTIFICATIONS OF NON-COMPLIANCE**

**MAIL STOP APPEAL BRIEF-PATENTS**

Honorable Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In response to the Notifications of Non-Compliance mailed January 12, 2004 and April 27, 2004, the Appeal Brief originally filed on October 28, 2003 is being resubmitted herewith to place same in full compliance with the Notices.

In the latest Notification of Non-Compliance mailed April 27, 2004, the Examiner noted the typographical error with regard to claim 11 in the Appendix of Claims. Accordingly, this error has been corrected herewith.

In the Notification of Non-Compliance mailed January 12, 2004, the Examiner indicated that the Appeal Brief, as originally filed, did not contain a concise explanation of the claimed invention with reference to the specification by page and line number and to the drawing. In the appended refiled Appeal Brief, Applicants have included an Appendix of Specification, which is the pending specification that incorporates all of the previously entered amendments to the specification. The refiled Appeal Brief specifically refers to the page and line numbers of the Appendix of Specification.

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Withdrawal of this objection is respectfully requested.

The Examiner has indicated that a single ground of rejection has been applied to two or more claims in the application, wherein the Brief as originally filed includes the statement required by 35 C.F.R. § 1.192(c)(7) that one or more claims do not stand or fall together, yet does not present arguments in support thereof in the argument section of the Brief. The refiled Appeal Brief more distinctly argues the merits of the dependent claims, in particular claims 10 and 11.

The Examiner has indicated that the status of amendment is not accurate, as stated in the original Appeal Brief, because the interview on July 17, 2003 did not result in an agreement that the proposed amendment of claim 7 is "allowed and will be entered". The originally filed Appeal Brief states:

In an Advisory Action dated July 15, 2003, the Examiner indicated that the amendment would be entered for purposes of this appeal. Thereafter, an interview with the Examiner and Applicants' Attorney took place on July 17, 2003, and on July 21, 2003 Applicant submitted proposed amendments to independent claim 7 in accord with the earlier interview for the purpose of getting the application allowed, but the proposed amendments to claim 7 was not entered by the Examiner.

Applicants submitted proposed amendments on July 21, 2003 for the purpose of getting the application allowed, in other words, with the belief that such proposed amendments would be sufficient to place the application in condition for allowance. No agreement resulted in the interview of July 17, 2003 that the proposed amendment of claim 7 would be allowed.

The Examiner further indicated that there is no record of any interview on July 21, 2003. The quoted statement above specifically indicates that on July 21, 2003 Applicants submitted proposed amendments to independent claim 7 in accord with the earlier interview, and the "earlier interview" refers to the interview of July 17, 2003. There is no interview held on July 21, 2003 and no substantive agreement was reached

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in the interview of July 21, 2003. The refiled Appeal Brief addresses and clarifies the issues raised by the Examiner.

Applicants thank the Examiner for the comments made in the Notification of Non-Compliance. Accordingly, the refiled Appeal Brief is believed to fully address the issues raised by the Examiner.

In addition, the refiled Appeal Brief includes Appendices for the Abstract, Drawings and a Dictionary Definition of "juxtaposed", for the convenience of the reader.

In the event there are any outstanding matters remaining in the present application which can be resolved by a telephone call or facsimile communication to Applicants' Attorney, the Examiner is invited to contact the undersigned by telephone or facsimile at the numbers provided below.

Respectfully submitted,  
BACON & THOMAS, PLLC



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Date: May 27, 2004

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